

FINANCIAL INTEGRITY AND COMPLIANCE WITH LAWS AND REGULATIONS

COURSE OVERVIEW

This specialized program aims to equip participants with the knowledge and skills needed to strengthen the principles of financial integrity and enhance compliance with applicable laws and regulations. Over the course of five days, participants will explore the latest global and local practices in transparency, anti-corruption, financial control, risk management, and corporate governance. The program also addresses how to foster a work environment built on legal compliance and trust with stakeholders. Through a blend of theoretical frameworks and practical applications, the course empowers participants to uphold integrity, reinforce accountability, and ensure sustainable organizational performance.

TARGET COMPETENCIES

- Financial Integrity
- Regulatory Compliance
- Corporate Governance
- Anti-Corruption
- Risk Management
- Internal Auditing
- Transparency and Disclosure
- Social Responsibility
- Professional Commitment

COURSE OBJECTIVES

By completely attending this course, participants will be able to:

- Apply ethical principles to strengthen financial integrity within organizations.
- Conduct compliance reviews aligned with relevant laws and regulations.
- Design internal controls that reduce the risk of financial violations.
- Analyze risks and develop effective mitigation strategies.
- Develop transparent reporting systems that reinforce institutional accountability.
- Enhance governance practices to support sustainable organizational performance.
- Evaluate anti-corruption programs to ensure they achieve their intended impact.
- Foster a culture of professional commitment that builds trust among stakeholders.

TARGET AUDIENCE

This course is designed for auditors, compliance officers, risk managers, and professionals working in finance and legal departments, as well as members of governance and transparency committees. It is also highly beneficial for individuals employed in government entities, regulatory bodies, and large corporations seeking to strengthen their compliance standards and foster an organizational culture grounded in integrity and accountability.

COURSE METHODOLOGY

The course employs a blended approach that combines presentations, interactive discussions, case studies, group exercises, and role-playing activities. This mix ensures a balance between theoretical understanding and practical application, enabling participants to effectively internalize and apply concepts within their work environment.

COURSE OUTLINE

FINANCIAL INTEGRITY

- Core concepts of financial integrity
- Role of transparency within organizations
- Forms of financial and administrative corruption
- Culture of institutional trust
- Individual and collective commitment to ethical values

REGULATORY COMPLIANCE

- Legal frameworks and relevant legislation
- Institutional statutory obligations
- Internal compliance policies
- Monitoring and evaluation mechanisms
- Regulatory penalties and sanctions

CORPORATE GOVERNANCE

- Principles of corporate governance
- Roles and responsibilities of boards of directors
- Protection of shareholders' and stakeholders' rights
- Transparency and disclosure as governance tools
- Effectiveness of internal control systems

ANTI-CORRUPTION

- Indicators of institutional corruption
- Whistleblowing and reporting policies
- Preventive measures for organizational protection
- Internal and legal investigation processes
- Role of penalties in deterrence

RISK MANAGEMENT

- Stages of risk identification and classification
- Assessment of risk likelihood and impact
- Development of effective response plans
- Monitoring and implementation processes
- Management of emerging risks

INTERNAL AUDITING

- Definition and role of internal auditing
- Approaches and methodologies of review
- Evaluation of financial controls and procedures
- Preparation and submission of audit reports
- Importance of independence in audit effectiveness

TRANSPARENCY AND DISCLOSURE

- Mandatory financial disclosure
- Performance reports and voluntary disclosure
- Disclosure to strengthen investor confidence
- Regulatory disclosure requirements
- Impact of disclosure on corporate reputation

SOCIAL RESPONSIBILITY

- Integration of organizational values within the community
- Social impact of institutions
- Sustainable development strategies
- Social and economic equity
- Promotion of community engagement

PROFESSIONAL COMMITMENT

- Importance of ethical standards
- Code of professional conduct
- Integrity at both individual and collective levels
- Mechanisms of self-monitoring
- Role of accountability in the workplace