

# CAPITAL MARKETS: MARKET STRUCTURE, PRODUCTS, AND REGULATORY TRENDS

## COURSE OVERVIEW

This course delves into the intricacies of global capital markets, focusing on their structure, the various financial instruments traded, and the regulatory frameworks that govern them. Participants will explore the roles of different market players, including issuers and investors, and gain a comprehensive understanding of equity markets. By distinguishing between different types of stock markets and identifying key financial regulators, participants will develop a nuanced perspective of how capital markets operate. The course aims to provide a solid foundation for those looking to deepen their knowledge of capital markets and their regulatory environment.

## TARGET COMPETENCIES

- Market Analysis
- Equity Understanding
- Regulatory Knowledge
- Instrument Proficiency
- Risk Management
- Financial Strategy

## TARGET AUDIENCE

- Finance Professionals
- Investment Analysts
- Regulators and Compliance Officers
- Business Students
- Corporate Executives

## COURSE OBJECTIVES

By completely attending this course, participants will be able to:

- Understand the key drivers of global capital markets.
- Identify the major players in capital markets and their roles.
- Analyze the features and functions of various capital market instruments.
- Explain the role of equity from both issuer and investor perspectives.
- Distinguish among different types of stock markets.
- Identify the key regulators of the financial system.
- Understand regulatory trends and their impact on capital markets.
- Develop strategies for navigating and leveraging capital markets effectively.

# **COURSE METHODOLOGY**

The course combines interactive methods, including concise presentations, theory application, and real-life demonstrations. Group and individual exercises foster experience sharing and apply acquired knowledge, enhancing practical understanding and engagement throughout the course.

## **COURSE OUTLINE**

### **INTRODUCTION TO CAPITAL MARKETS**

- Overview of Capital Markets.
  - Definition and Importance.
  - Key Market Participants.
- Market Drivers.
- Types of Capital Markets.
  - Primary vs. Secondary Markets.
  - Over the Counter (OTC) Markets.
- Market Functions.

### **EQUITY MARKETS**

- Role of Equity.
  - Issuer's Perspective.
  - Investor's Perspective.
- Types of Stock Markets.
- Equity Instruments.
  - Common Stocks.
  - Preferred Stocks.
- Equity Market Indicators.

### **DEBT MARKETS**

- Overview of Debt Instruments.
  - Bonds
  - Notes
- Issuers and Investors.
  - Government vs. Corporate Bonds.
  - Institutional vs. Retail Investors.
- Debt Market Mechanics.
- Debt Market Indicators.

### **DERIVATIVES MARKETS**

- Introduction to Derivatives.
- Key Derivative Instruments.
  - Futures and Forwards.
  - Options and Swaps.
- Market Participants.
- Risk Management.
  - Hedging Strategies.
  - Derivative Risks.

### **REGULATORY ENVIRONMENT**

- Key Financial Regulators.
  - SEC (USA)
  - FCA (UK)
- Regulatory Frameworks.
- Compliance Requirements.
  - Reporting Standards.
  - Anti-Money Laundering (AML).
- Regulatory Trends.

### **MARKET ANALYSIS AND STRATEGY**

- Market Analysis Techniques.
  - Fundamental Analysis.
  - Technical Analysis.
- Investment Strategies.
- Risk Assessment.
- Future Trends in Capital Markets.